generation life

Investment Strategy Change, Switch & Auto-Rebalancing Form

Generation Life Investment Bonds

Guide to completing this form

- **Please complete this form if you want to:**
  - Re-weight your entire investment portfolio
  - Switch between specific investment options
  - Set up or update your Default Investment Allocation for future contributions
  - Set up or cancel your annual Auto-rebalancing facility
- Please use BLACK/BLUE ink and complete the applicable sections in BLOCK LETTERS.

1. Investor details

Client number (if known)

Account number

Investor name

Date of birth (dd/mm/yyyy) (if applicable)

☐☐ / ☐☐ / ☐☐☐☐

2. Type of instruction

Please select all instructions you wish to provide.

☐ Switch my entire investment – total portfolio re-weight (Complete **Section 3**)

☐ Switch part of my investment – partial switch (Complete **Section 4**)

☐ Auto-rebalancing facility – add or remove the Auto-rebalancing facility (Complete **Section 5**)

☐ Default Investment Allocation – direct how future contributions are invested (Complete **Section 6**)

Important note: Re-weighting or switching your portfolio (**Sections 3 and 4**) will not change your strategy for future contributions (your Default Investment Allocation). Your Default Investment Allocation is also used to allocate Regular Savings Plan contributions, Dollar Cost Averaging facility and the investment strategy for the Auto-rebalance facility. If you want to nominate or update your Default Investment Allocation, please complete **Section 6**.

You can view your Default Investment Allocation online by logging in to Investor Online via our website.

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3. Total portfolio re-weight instructions

Complete this section if you want a total re-weight of your portfolio.

Please note: If you want to update your Default Investment Allocation for future contributions, please also complete **Section 6**.

If your portfolio includes Limited Liquidity investment options, then any switch out of those investment options will be completed once funds are received. Refer to the Product Disclosure Statement for more information about Limited Liquidity investment options.

Please attached extra copies of this page if you need to provide more investment options than the space provided.

Investment Allocation

Fund name	Allocation (%)
Allocation percentage must total 100%	0 %

Note: There may be a delay in processing your request if the total allocation does not equal 100%.

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4. Partial switch instructions

Complete this section if you want to make a partial switch to your portfolio.

Use the examples provided at the end of this form (Section 8) as a guide to perform a partial switch. Switch requests not in this format may require clarification and result in delays in processing.

Please note: If you want to update your Default Investment Allocation for future contributions, please also complete **Section 6**.

If your switch from instructions include Limited Liquidity investment options then the switch out of those investment options will be completed once funds are received. Refer to the Product Disclosure Statement for more information about Limited Liquidity investment options.

Switch from

Fund name	Allocation (% or $)
Total Allocation ($)	0

Switch to

Fund name	Allocation (% or $)
Total Allocation (% or $)	0

Please ensure the 'Switch to' allocation column totals 100% or the sum of dollar amounts switched.

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5. Auto-rebalancing facility instructions

Complete this section if you want to add or remove the Auto-rebalancing facility.

Note: If you select the annual Auto-rebalancing facility, your portfolio will be rebalanced annually in accordance with your Default Investment Allocation. Refer to the current Product Disclosure Statement for terms and conditions. Complete Section 6 to nominate or update your Default Investment Allocation.

If you have a current active Dollar Cost Averaging facility in place at the time of the scheduled annual Auto-rebalancing, your annual Auto-rebalance will not occur.

Any overweight Limited Liquidity investment options will not be sold down as part of the Auto-rebalancing facility. Refer to the PDS for more information about Limited Liquidity investment options.

☐ Add the annual Auto-rebalancing facility.

☐ Cancel my annual Auto-rebalancing facility.

6. Default Investment Allocation - Investment instructions for future contributions

Complete this section if you want to direct how all future contributions are to be invested.

Please note: If you do not make a selection or the provided allocation percentage does not total 100%, your Default Investment Allocation will not be updated.

Please note: If a Regular Savings Plan, Dollar Cost Averaging facility or Auto-rebalancing facility is already established on your account, completing **Section 6** will also update the existing allocation strategies for these features on your account.

Please note: Limited Liquidity investment options may limit or delay withdrawal payments, switches from, or payments of death benefits. Limited Liquidity investment options are not available for FuneralBond accounts. Refer to the Product Disclosure Statement for more information about Limited Liquidity investment options.

All future contributions are to be invested:

☐ according to the instructions provided in **Section 3**.
(this option is only available if you have also requested for a total portfolio re-weight.)

OR

☐ according to the instructions in the below table.
(if you have more investment options, please attach a separate list.)

Fund name	Allocation (%)
Allocation percentage must total 100%	0 %

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7. Declaration and signatures

I/We declare that all details in this form are true and correct.

I/We authorise Generation Life Limited to process the instructions set out in this form.

I/We acknowledge that the processing of my/our instructions may be delayed if the form is incomplete.

I/We acknowledge that if I/we invest in Limited Liquidity investment options in my/our Generation Life investment bond, these investment options may limit or delay my/our ability to make withdrawals, switch from, or for Generation Life to pay death benefit payments from these investment options, and I/we acknowledge that the ability to make a withdrawal and receive proceeds is not generally available on a daily basis.

I/We confirm that I/We have received a copy of the current Product Disclosure Statement (PDS) and have read and understood the PDS and agree to be bound by the terms and conditions set out in the PDS.

If this form is signed under Power of Attorney the attorney certifies that he/she has not received notice of revocation of that power.

If your power of attorney has not previously been registered by us, we will require a certified copy of the power of attorney document as well as the appropriate proof of identification documents in accordance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.

For more information, please refer to the 'Completing proof of identity' document on our website.

Signature of Investor 1

Please select the appropriate box

☐ Individual ☐ Trustee ☐ Director ☐ Power of attorney

Name (please print)

Signature

✗

Date (dd/mm/yyyy)

/ /

Signature of Investor 2

Please select the appropriate box

☐ Individual ☐ Trustee ☐ Director/Company secretary ☐ Power of attorney

Name (please print)

Signature

✗

Date (dd/mm/yyyy)

/ /

You can submit this form by:

Email: enquiry@genlife.com.au

Mail: GPO Box 263, Collins Street West, Melbourne VIC 8007

8. Partial switching examples

Please use the following examples as a guide to perform your partial switch.

Switch requests not in this format may require clarification and result in delays in processing. If you are unsure about how to complete your switch request, please contact us on 1800 806 362.

Switches by percentage example

Switch 70% of my investment in Fund A and 100% of my investment in Fund B into Funds C, D and E. Of the total value of proceeds from these investments, invest (switch) 30% into Fund C, 50% into Fund D and 20% into Fund E.

Switch from

Fund name	Fund code	Allocation (% or $)
Fund A	11	70%
Fund B	22	100%
	Total Allocation ($)	

Switch to

Fund name	Fund code	Allocation (% or $)
Fund C	33	30%
Fund D	44	50%
Fund E	55	20%
	Total Allocation (% or $)	100%

Switches by amount example

Switch $10,000 of my investment in Fund A and $10,000 of my investment in Fund B into Funds C, D and E. Of the total value of proceeds from these investments, invest (switch) $10,000 into Fund C, $5,000 into Fund D and $5,000 into Fund E.

Switch from

Fund name	Fund code	Allocation (% or $)
Fund A	11	$10,000
Fund B	22	$10,000
	Total Allocation ($)	$20,000

Switch to

Fund name	Fund code	Allocation (% or $)
Fund C	33	$10,000
Fund D	44	$5,000
Fund E	55	$5,000
	Total Allocation (% or $)	$20,000

Combination switches example

Switch $10,000 of my investment in Fund A and $10,000 of my investment in Fund B into Funds C, D and E. Of the total value of proceeds these investments, invest (switch) 30% into Fund C, 50% into Fund D and 20% into Fund E.

Switch from

Fund name	Fund code	Allocation (% or $)
Fund A	11	$10,000
Fund B	22	$10,000
	Total Allocation ($)	$20,000

Switch to

Fund name	Fund code	Allocation (% or $)
Fund C	33	30%
Fund D	44	50%
Fund E	55	20%
	Total Allocation (% or $)	100%