

Use this form to transfer financial advisers between Dealer Groups.

- Please use BLACK/BLUE ink and complete the applicable sections in BLOCK LETTERS.

1. Transfer from

Please indicate the Dealer Group code that you want the financial adviser transferred from:

Generation Life Dealer Group code

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AFS Licensee name

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AFS Licensee number

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3. Transfer to

Please indicate the Dealer Group code that you want the financial adviser transferred to (if available):

Generation Life Dealer Group code

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AFS Licensee name

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AFS Licensee number

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REQUIRED DOCUMENTS

To complete the bulk adviser transfer, Generation Life needs you to submit the following with this form:

- **Release letter** - A letter from the previous Dealer Group authorising the release of the clients and accounts. A template for this letter has been attached for your convenience. This letter must be provided on the previous Dealer Group's company letterhead.
- **Acceptance letter** - A letter from the new Dealer Group accepting the transfer of the clients and accounts. A template for this letter has been supplied. This letter must be provided on the new Dealer Group's company letterhead.

Information required to complete the transfer include client and account details together with their corresponding financial adviser's name, ASIC authorised representative/adviser number, Generation Life adviser code, Financial advisory firm name (if applicable), mobile number, business phone number, and email address.

You must also advise of any clients that are not being transferred to the new Dealer Group and for which the release is not applicable.

5. Declaration and signatures

By completing and signing this form, you:

- confirm that you have the required authorisations to instruct Generation Life Limited.
- authorise Generation Life Limited to act in accordance with the instructions on this form.
- acknowledge that the instructions on this form override all previous instructions received by Generation Life Limited.
- agree to indemnify Generation Life Limited from and against all losses, costs, expenses, claims and actions or proceedings brought against us in accordance with following the instructions on this form.
- agree and acknowledge that it is the new Dealer Group's responsibility to arrange any new fee arrangements with the clients and notify Generation Life Limited.
- agree and acknowledge that any client advice fee arrangements in place with the previous Dealer Group at the time of the client transfer will be transferred and remain in place with the new Dealer Group and the new Dealer Group will be recorded as the payee until such time as any new fee arrangements are received by Generation Life.

Signature of new Dealer Group Authorised Signatory

Name (please print)

Signature

Date (dd/mm/yyyy)

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You can submit this form by:

Email: enquiry@genlife.com.au

Mail: GPO Box 263, Collins Street West, Melbourne VIC 8007

[Company Letterhead of previous Dealer group]

Subject: Dealer Group Release of Investors

Generation Life Limited Adviser Management Services

[insert previous Dealer Group name] authorises the release of the clients and accounts listed in the attached document to [insert new Dealer Group name and Generation Life Dealer Group code].

I confirm that I have the required authorisations to instruct Generation Life Limited.

Signed by

[Print name]

Authorised Representative

[dd/mm/yyyy]

[Company Letterhead of new Dealer Group]

Subject: Dealer Group Acceptance of Investors

Generation Life Limited Adviser Management Services

[insert new Dealer Group name] accepts the transfer of the clients and accounts listed in the attached document. The clients and accounts should be allocated to [insert new Dealer Group name and Generation Life Dealer Group code].

We confirm that any client advice fee arrangements in place with the previous Dealer Group at the time of the investor transfer will remain and be transferred and in place, with the new Dealer Group to be recorded as the payee until such time as any new fee arrangements are received by Generation Life.

I confirm that I have the required authorisations to instruct Generation Life Limited.

Signed by

[Print name]

Authorised Representative

[dd/mm/yyyy]