Bulk Client Transfer Form Within Same Dealer Group

Generation Life Investment Bonds

Use this form to transfer multiple clients between financial advisers within the same Dealer Group.

- Please use BLACK/BLUE ink and complete the applicable sections in BLOCK LETTERS.

1. Transfer investors from

Please indicate the Generation Life adviser code that you want the clients transferred from:

Generation Life adviser code

Adviser title

☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Adviser given name(s)

Adviser surname

2. Transfer investors to

Please indicate the adviser code that you want the clients transferred to (if available):

Generation Life adviser code

Adviser title

☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

Adviser given name(s)

Adviser surname

ASIC Authorised Representative/Adviser Number

AFS Licensee name

AFS Licensee number

Financial advisory firm (if applicable)

Mobile phone number

Business phone number

Email address

► FORM CONTINUES OVER PAGE

REQUIRED DOCUMENTS

To complete the investor transfer, Generation Life needs you to submit the following with this form:

A document with the full names of all the clients and accounts that are being transferred and their corresponding Client numbers and Account numbers, with the financial adviser name, ASIC authorised representative/adviser number, Generation Life adviser code, Financial advisory firm name (if applicable), mobile number, business phone number, and email address of the financial adviser that they are transferring to.

5. Declaration and signatures

By completing and signing this form, you:

- confirm that you have the required authorisations to instruct Generation Life Limited.
- authorise Generation Life Limited to act in accordance with the instructions on this form.
- acknowledge that the instructions on this form override all previous instructions received by Generation Life Limited.
- agree to indemnify Generation Life Limited from and against all losses, costs, expenses, claims and actions or proceedings brought against us in accordance with following the instructions on this form.
- agree and acknowledge that it is the new financial adviser's responsibility to arrange any new advice fee arrangements with the clients and notify Generation Life Limited.
- agree and acknowledge that any client advice fee arrangements in place with the previous financial adviser at the time of the client transfer will be transferred to the new financial adviser and remain in place, with the new financial adviser to be recorded as the payee until such time as any new advice fee arrangements are received by Generation Life.

Signature of new financial adviser

Name (please print)

Signature

✗

Date (dd/mm/yyyy)

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You can submit this form by:

Email: enquiry@genlife.com.au

Mail: GPO Box 263, Collins Street West, Melbourne VIC 8007