

# Advice fees form

## Guide to completing this form

- This form is to be completed by investors who want to establish/consent to advice fee arrangements with their financial adviser.
- Please use BLACK/BLUE ink and complete the applicable sections in BLOCK LETTERS.

## 1. Investor details

Client number (if known)

Account number

Investor name(s)

Date of birth (dd/mm/yyyy) (if applicable)

## 2. Nominate advice fee arrangements

All fee arrangements are inclusive of GST.

### 2.1 Initial advice fee

**Future additional contribution fee (excludes Regular Savings Plan contributions)**

 % of future additional contribution amount

**Note:** Providing instructions in this section will replace any existing arrangement for this fee.

### 2.2 One-off advice fee

 \$ per request

### 2.3 Cancel advice fees

- ☐ Cancel initial advice fee
- ☐ Cancel fixed term arrangement adviser service fee
- ☐ Cancel adviser service fee

### 2.4 Adviser service fee

Adviser service fees will be deducted from your account and paid to your financial adviser monthly in arrears.

Please select **one** adviser service fee option.

☐

#### Option 1- Fixed Term Arrangement

A Fixed Term Arrangement is for agreed advice services provided within 12 months from the fee Start Date. The deduction of the advice fee will last for a period of up to 12 months as agreed between you and your adviser.

**Note:** An account can have only one active fixed term arrangement at any time. Please ensure you take into account any existing unexpired fixed term arrangement when submitting a new fixed term fee arrangement.

#### Start and End Date for the Fixed Term Arrangement

Start Date (dd/mm/yyyy)

End Date (dd/mm/yyyy)

The actual start date for the calculation and deduction of fees will be the later of the Start Date nominated or the date that this request is received and processed by Generation Life. Your financial adviser will require you to agree to a fee arrangement after this period if you want to continue receiving the agreed advice services from your financial adviser.

This form must be signed within 90 days of the Fixed Term Arrangement Start Date.

I/We have agreed to the payment of the following fixed term adviser service fee (inclusive of GST) to be deducted from my/our investment balance.

**Please select one only.**

% p.a. (payable monthly)

(calculated based on your daily account balance)

**OR**

\$ p.a. (payable monthly)

Based on your investment balance of:

Your adviser has estimated a fee of:

per month

☐

#### Option 2 - Consent to ongoing fee arrangements

You may agree with your financial adviser to consent to the payment of a fee for agreed ongoing advice services provided by your financial adviser. Your financial adviser is required by law to obtain your written consent before the adviser service fee can be deducted from your account.

The adviser service fee will be deducted from your account and paid to your financial adviser monthly in arrears. You can withdraw your consent, cancel or vary your ongoing fee arrangements at any time by notice in writing to us or your financial adviser.

**Note:** This fee arrangement cannot be selected if the Fixed Term Arrangement has been selected.

#### Agreed fee consent period for ongoing fee arrangement (required)

Start Date (e.g. 15/07/2021) (dd/mm/yyyy)

Consent Anniversary Date (e.g. 15/07/2022) (dd/mm/yyyy)

The actual Start Date for the calculation and deduction of fees will be the later of the Start Date nominated or the date that this request is received and processed by Generation Life.

Your Consent Anniversary Date is 12 months from the nominated Start Date. Your consent to the deduction of your Ongoing Fee Arrangement will expire 150 days after your Consent Anniversary Date. Your financial adviser will require your consent to continue this fee arrangement within 120 days of your Consent Anniversary Date if you want to continue receiving the agreed advice services from your financial adviser.

This form must be signed within 90 days of the Start Date.

This section continues onto the next page.

### Option 2 - Consent to ongoing fee arrangements (continued)

#### Ongoing adviser service fee

I/We consent to the payment of the following ongoing adviser service fee to be deducted from my/our investment balance.

Please select one only.

% p.a. (payable monthly)  
(calculated based on your daily account balance)

OR

\$ p.a. (payable monthly)

Based on your investment balance of

\$

Your adviser has estimated a fee of:

\$

per month

#### Ongoing Regular Savings Plan contribution fee

I/We consent to the payment of the following ongoing Regular Savings Plan contribution adviser service fee to be deducted from my/our Regular Savings Plan contribution payable following each Regular Savings Plan contribution.

% of the contribution amount

Based on your scheduled regular contribution, your adviser has estimated a fee per Regular Savings Plan contribution of:

\$

### 3. Financial adviser details

Given name(s)

Surname

AFS Licensee name

AFS Licensee number

Generation Life Adviser code

Phone number

Mobile number

Email address

### 4. Investor declaration and signatures

I/We declare that all details in this form are true and correct.

I/We authorise Generation Life Limited to process the instructions set out in this form.

I/We acknowledge that the processing of my/our instructions may be delayed if the form is incomplete.

I/We confirm that I/we have received a copy of the current disclosure document for my/our investment and have read and understood the disclosure document and agree to be bound by the terms and conditions set out in the disclosure document.

I/We have agreed with my nominated financial adviser to pay the advice fees detailed in Section 2 and I/we authorise and direct Generation Life to deduct these amounts from my/our contribution and/or investment balance (as applicable) and pay these amounts to the nominated financial adviser or Australian Financial Services (AFS) Licensee for whom my nominated financial adviser acts as an authorised representative (whoever that may be from time to time) and I/we consent to some or all of this amount being paid by the AFS Licensee to my nominated financial adviser (or their nominee).

I/We confirm that any advice fees entered in Section 2 are for financial product advice provided to me/us by my/our nominated financial adviser relating solely to my/our Generation Life investment.

This authority will continue unless revoked in writing by me/us or for any consent provided for ongoing fee arrangements, 150 days after the Consent Anniversary Date for the ongoing fee arrangement, whichever is earlier.

If this form is signed under Power of Attorney the attorney certifies that he/she has not received notice of revocation of that power. If your power of attorney has not previously been registered by us, we will require a certified copy of the power of attorney document as well as the appropriate proof of identification documents in accordance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.

For more information, please refer to the 'Completing proof of identity' document on our website.

#### Signature of Investor 1

Name (please print)

Signature

Date (dd/mm/yyyy)

Please select the appropriate box

☐

Individual

☐

Trustee

☐

Director

☐

Power of attorney

#### Signature of Investor 2

Name (please print)

Signature

Date (dd/mm/yyyy)

Please select the appropriate box

☐

Individual

☐

Trustee

☐

Director/Company secretary

☐

Power of attorney

### 5. Adviser declaration and signatures

Where advice fee arrangements have been agreed with my client(s) as the investor(s):

- I acknowledge that a percentage advice fee arrangement cannot be paid on a borrowed amount used to make an investment. I confirm that I have made reasonable enquiries to determine that the investment has not been made with borrowed amounts.
- I will notify Generation Life within 10 Melbourne Business Days if any advice fee arrangements are terminated by my client or where consent to renew has not been received in accordance with the requirement of Division 3 of Part 7.7A of the Corporations Act (2001) or other legislative requirements.
- I confirm that any advice fee arrangements payable to me as agreed by my client are for financial services relating solely to the investment account advised.
- I confirm that any changes in advice fee arrangements will be signed off in writing by my client as the investor prior to making such change.
- I acknowledge that the processing of my client's instructions may be delayed if the form is incomplete.

#### Signature of financial adviser

Financial adviser name (please print)

Signature

Date (dd/mm/yyyy)

#### You can submit this form by:

**Email:** enquiry@genlife.com.au

**Mail:** PO Box 263, Collins Street West  
Melbourne VIC 8007



#### Postal address

GPO Box 263, Collins Street West  
Melbourne VIC 8007

#### Email

enquiry@genlife.com.au

#### Enquiries

Investor services: 1800 806 362  
Adviser services: 1800 333 657

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[genlife.com.au](http://genlife.com.au)